

## **COMMON APPLICATION FORM FOR DEBT SCHEMES**

For sale of Units of Tata Mutual Fund Scheme(s) to Resident/ Non-Resident Indians. Please refer complete details on all page(s) and scheme description/details while applying.

Sr. No. :

W-8521

ISSUE OF UNITS OF TATA MUTUAL FUND SCHEMES AT NAV BASED RESALE PRICE WITH APPLICABLE LOAD IF ANY FOR ONGOING SALE Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS. Tick (1) whichever is applicable. Strike out which is not required

ARN-9686	BROKER / AGENT CODE	
	SUB-BROKER / BANK BRANCH CODE	0
	M. O. CODE	

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Supplementation    Manne of First Applicant   Manne of First Applicant   Capy   Statement via Email instead of the physical copy   Very   Notice of Supplement   Notice of Second Applicant   Capy   Statement via Email instead of the physical copy   Very   Notice of Supplement   Notice of Third Applicant   Notice of Third Applicant   Statement on balant of Applicant	Existing Folio No.:    MANDATORY [Please tick (< )] (Refer Instruction - E & F)    APPLICANT DETAILS   PAN * please attach proof   Pro	lick (< )	] (Refer ins	PAN * please attach proof	E & F)	Proof	Know Your Client (KYC)  KYC Compiled	ent (KYC)	Sineir of various factors in	STATUS  Patinership  Public Ltd. Co.
Mr   hs   Ms	THIRD APPLICANT					Proof	∏ KYC C		☐ Proprietorship	On behalf of Minor
Mr Ms Ms  Please mention your name as it appears in your Bank Account  Date of Birth  Plin Code  City  State  Country  Pin Code  City  Residence  Residenc	GUARDIAN/POA HOLDER					Proof	☐ KYC C		Others	
Mr   Ms   Ms   Please mention your name as it appears in your Bank Account   Date of Birth	UNITHOLDER INFORM	ATION		-	- -	-	- -	-	-	-
Mr Ms M/s	Name of First Applicant		Ms M/s	Please ment	ion vour na	me as it app	hears in you	Ir Bank Acc		
State   Country	Name of Consuling		K K	lease lilein	Joll you ha					
City   State   Country	Name of Guardian (in case first Applicant is Minor) contact person-designation (no individual)/PoA Holder (investri made of constitutional attorney).	n- nents	Ms							
City   State   Country	Mailing Address (PO Box A	ddress								
Country   Country   Country   Country   Country   Country     Country     Country     Country     Country     Country     No   Country     No   Country     No   Country     No   Country     No   Country	is not sufficient) (Indian Address in case o	<u> </u>								!
Interior   Country   Country   Statement via Email instead of the physical copy   Yes   No   No   No   No   No   No   No   N	INNIS/FIIS)		City			State			Count	
Residence   Professional   Housewife   Retired   Student   Other	Email (mandatory for Da	ily STP)								
Telephone : Office   Professional   Poper   Professional   Professional   Poper   Professional   Profe	I/ We wish to receive A/c	Statem	nent / Annu	al Report /	Quarterly	Statement	t via Emai	l instead o	of the physical copy	Yes U
City	Contact Particulars		Residen	Ce Office		1 1			Mobile	1
City	Overseas Address (Mandatory for NRI/FII appl	ications							Pi —	Code
Agriculture   Business   Service   Professional   Housewife   Retired   Student   Other	in addition to		City						င	untry
Single   Joint   Anyone or Survivor	Occupation		Agricult	ure Bus	iness 🗌 Sei	rvice   Pro	ofessional [	Housew		ΙП
Status: (*) RI NRI Date of Birth Deter for investment on behalf of Applicant.	Mode of Holding		☐ Single	☐ Joint	1	one or Surv	ivor			
Status: (*) RI NRI Date of Birth Name & Address of Guardian (to be furnished in case the nominee is not provided by each Date of Birth Date of Birth Name & Address of Guardian (to be furnished in case the nominee is not provided by Each Date of Birth Name & Address of Guardian (to be furnished in case the nominee is not provided by Each Date of Birth Date of Birth Name & Address of Guardian (to be furnished in case the nominee is not provided by Each Date of Birth Date of Birth Name & Address of Guardian (to be furnished in case the nominee is not provided by Each Date of Birth Date	Name of Second Applica	ant		_		] 				
Status: (*) RI NRI Date of Birth   D   Date of Birth   D   D    Ist unitholder   Proportion (% to aggregate to 100%)   Date of Birth   D   D    Status: (*) RI NRI Date of Birth   D   D	Name of Third Applican	<u></u>		_	Status: (*			-   <u>2</u>		_
be filled in by Individual(s) applying singly or jointly) - please refer instruction K.  Nominee's Relationship shared by will be shared by each Ist unitholder nominee (% to aggregate to 100%)  Relationship (% to aggregate to 100%)  Rominee (% to aggregate to 100%)  (to be furnished in case the nominee is not the no					Status: (			NR.		<b>⊢</b> I
be filled in by Individual(s) applying singly or jointly) - please refer instruction K.  Nominee's Relationship which the units shared by will be shared by each lst unitholder nominee (% to aggregate to 100%)  The proportion (%) by which the units shared by each look with nominee (% to aggregate to 100%)  The proportion (%) by which the units shared by each look with nominee (% to aggregate to 100%)  The please refer instruction K.  Name & Address of Guardian (to be furnished in case the nominee is not	Name of Power of Attorne	ey holdeı	r for investm	ent on beh	alf of Applic	cant.				
be filled in by Individual(s) applying singly or jointly) - please refer instruction K.    Nominee's Relationship with Shared by will be shared by will be shared by each nominee (% to aggregate to 100%)										
Nominee's Repationship shared by will be shared by each with Ist unitholder nominee (% to aggregate to 100%)    Stared by will be shared by each with nominee (% to aggregate to 100%)	NOMINATION DETAIL	LS (To	be filled ir	by Indivi	idual(s) ap	plying sin	ıgly or joi	intly) - p	lease refer instruc	tion K.
(to be furnished in case the nominee	Name and Address or Nominess(s)	f the	Nomine Relation with		ortion (%) by ad by will be se (% to agg	which the u shared by ea		of Birth	Name & Addres	
Nominee 1  Nominee 2  Nominee 3			1st unitho		ee (% to agg	regate to 10	(%0		(to be furnished in	
	Nominee I									
Nominee 3										
	Nominee 3									

PLEASE CHOOSE THE SCHEME/S AND OPTION FOR INVESTMENT  TATA LIQUID FUND (LF)	AND OPTION FOR INVESTM	ENT		
RIP Growth	<ul><li>Fortnightly Dividend</li><li>Monthly Dividend</li></ul>	<ul><li>Daily Dividend</li><li>Weekly Dividend</li></ul>	<ul><li>Daily Dividend</li></ul>	Default Option: Growth /
SHIP O Growth SHIP PLUS O Growth	00	<ul><li>Weekly Dividend</li><li>Weekly Dividend</li></ul>	Daily Dividend     Daily Dividend	Dividend Reinvestment (please refer Instruction J)
There will be compulsory reinvestment of dividend under Daily Dividend Option	of dividend under Daily Dividend C	Option Privile Id Fayour	Cividend Venivestinent	
RIP - Regular Investment Plan HIP - High Investment Plan		SHIP - Super High Investment Plan	SHIP Plus - Super High Institutional Plus Plan	ional Plus Plan
☐ TATA SHORT TERM BOND FUND (ST) ☐ Dividend ☐ Growth	ND (ST)	O Payout	Reinvestment	Default Option: Growth / Reinvestment (please refer Instruction J)
☐ TATA INCOME PLUS FUND (IP) ☐ Option A ☐ Growth ☐ Option B ☐ Growth	)  O Dividend/ Bonus Payout  Dividend/ Bonus Payout	<ul><li>Dividend Reinvestment</li><li>Dividend Reinvestment</li></ul>	ment ment	Default Option: Option A / Growth / Dividend Reinvestment (please refer
Option C Growth		Uividend Reinvestment	nent	Default Option: Normal
☐ TATA GILT SECURITIES FUND (GF) ☐ Normal	Growth O Dividend/ Bonus Payout  Growth O Dividend/ Bonus Payout	00	Dividend Reinvestment Dividend Reinvestment	/ Growth / Dividend Reinvestment (please refer Instruction J)
☐ Tata Gilt Short Maturity Plan	○ Growth ○ Dividend/I	Dividend/ Bonus Payout O Divide	O Dividend Reinvestment	Default Option: Normal / Growth / Dividend Reinvestment (please refer Instruction )
☐ TATA INCOME FUND (IF) ☐ Quarterly Dividend ☐ Payout ○ Reinvestment	Half Yearly Dividend Payout Reinvestment	Default Option: Growth / ( Periodic Dividend Payout O Reinvestment	wth / Quarterly / Reinvestm	Default Option: Growth / Quarterly / Reinvestment (please refer Instruction J)  Periodic Dividend  Growth  Bonus  Payout  Reinvestment
TATA FLOATING RATE FUND			ort Term / Growth / Reinvestm	Default Option: Short Term / Growth / Reinvestment (please refer Instruction J)
Income / Bonus G	☐ Growth ☐ Income / Boi	Income / Bonus Growth Payout Reinvestment	vth Daily Dividend (Compulsory Reinvestment)	Growth
☐ TATA FLOATER FUND (TFF)	Growth Dividend	Daily -	Dividend Reinvestment	Default Option: Growth / Reinvestment (please refer Instruction  )
Default Option: Growth Default There will be compulsory reinvestment of dividend under Daily Dividend Option	Default Option: Growth D of dividend under Daily Dividend C	Option:	<b>Default Option</b> : Reinvestment	
☐ TATA LIQUIDITY  MANAGEMENT FUND (TLMF)	Growth Dividend	Daily -	Dividend Reinvestment Dividend Reinvestment	Dividend will be compulsorily reinvested
	Default Option: Growth	Default Option : Weekly	: Weekly	
'A TREASURY M	JND (TTMF)			
RIP C Growth	Monthly Dividend     Daily Dividend	Weekly Dividend	O Monthly Dividend	Default Option: RIP / Growth / Dividend Reinvestment
th Plan in case of I	tion(Please tick) of dividend under Daily Dividend C			(Prease refer ills (ruction ))
☐ TATA GILT MID TERM FUND (TGMTF)				Default Option: Growth /
☐ Growth ☐ Dividend ──	Periodic Dividend Quarterly Dividend	☐ Payout	☐ Reinvestment ☐ Reinvestment	Reinvestment (please refer Instruction J)
Please enclose seperate Cheques/Demand Draft for each scheme.	emand Draft for each scheme.			
Scheme Name				
Gross Amount in Rs.  Net Amount in Rs.		DD Charges Rs. Bank A/c. No. :	. (if any)*	
Amount of Investment (Rs in Words)_				
Drawn on Bank	Branch	Cheque/DD No	Dated	_//
Scheme Name				
Gross Amount in Rs.  Net Amount in Rs.		DD Charges Rs.  Bank A/c. No. :	. (if any)*	
Amount of Investment (Rs in Words)_				
Scheme Name	Branch	Cheque/DD No.	Dated	
Gross Amount in Rs.		DD Charges Rs.	. (if any)*	
Amount of Investment (Rs in Words)		Bank A/c. No. :		
Drawn on Bank	Branch	Cheque/DD No.	Dated	

	Name of the scheme/s	\ddress :	Tata Mutual Fund "Acknowledgment Slip" (To be filled in by Investor)  eceived application from Mr./Mrs./Master/M/s	Signature(s) / Thumb Impression(s) Refer Instruction - 'C'	The Trustee, Tata Mutual Fund  a) Having read & understood the contents of the Scheme Information Docuregulations governing the scheme. I/ We hereby declare that the amount in contravention of any Act, Rules, Regulations, Notifications or Directions of laws enacted by the Govt of India from time to time. I/ We have understoin indirectly in making this investment. I/ We confirm that the funds invested to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeer redemption & undertaking such other action with such funds that may be retain I/ we have remitted funds from abroad through approved banking chan me/us all the commissions (in the form of trail commission or any other mois being recommended to me / us. d) I/ We confirm that details provided b April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 200 I/We hereby declare that I/We do not have any existing Micro SIP's which to Date:	DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)  1) □ Memorandum & Articles of Association (Corporate) 2) □ Board Resolution (Corporate) 3) □ Authorised signatories list. 4) □ Trust  5) □ Partnership Deed (for partnership firm) 6) □ Copy of PAN card of all unitholders. 7) □ KYC Confirmation. 8) □ Power of Attorney.	DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS (Refer Instruction - H)  Tata Mutual Fund directly credits the Redemption/Dividend/Refund payout into the investor's Bank Account in case Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Roya Bank of India (Core banking centers only).  I/We understand that the instruction to the bank for Direct Credit/NEFT/ECS will be given by the Mutual Fund & towards redemption/dividend/refund proceeds. In case of bank not crediting my/our bank account with/without a or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS if however you wish to receive Cheque payment, please tick here				Account No. (in Fig.) Bank Address	Branch	Name of the Bank	FIRST HOLDERS BANK			mailing address) (PO Box Address is not sufficient)	(Mandatory for NRI/FII applications in addition to	OVERSEAS ADDRESS
	Application for amount in Rs. (A)		Acknowledg Mr./Mrs./Maste	- Ist	SIGNATURE I Fund I fund d the contents of theme.  / We here Jles, Regulations, findia from time astment.  / We cc fC,  / We hereby such other action dos from abroad t in the form of tra in the form of tr	SUBMITTEL cles of Association r partnership firm)	receive Chequ	* IFSC Code		City			WIII DO II ACC	ACCOUNT DI	Tel. (Res.)	Country	City		
	DD charges (if any) in Rs. (B)		ment Slip" (To	lst / Sole holder	S Refer Instruction of the Scheme Information of the Scheme Information or Description of the Notifications or Description of the Notifications or Description of the Notification of the	O (Please tick () (Corporate) 2)	demption/Dividen tered Bank/Kota only).  sonly). coeds. In case of mplete or incorranglete	* IFSC Code for NEFT/RTGS					is applicant of to	TAILS (Mandato				Overseas Address in case of NRI/FIIs	
	Net Amount or DD/ Pay Order drawn for Rs. (A-B)		be filled in by		nation Document of a mation Document of a mount invested in irrections of the provenuments of the provenuments of the sinvested in the SC, to redeem the full that may be required anking channels or yother mode), pay provided by me / June 26, 2007 regar IP's which together	Board Resolution ( N card of all unithol	IDEND / REFUN d/Refund payout i d/Refund payout i d/Refund payout i k Mahindra Bar kt Credit/NEFT/E bank not creditir bank not possit is e tick here		- Code				Talia III case of Fic	ory) (Refer Instru				FIIS	
	(A-B) Cheque/ DD/ Pay Order no.	   PIN   	Investor)	2n	the Scheme, I/ We in the scheme is throw visions of the Incomvedetails of the scheme cheme, legally belon, and invested in the Sby the Law. b) For by the Law. b) For thom funds in my / our able to him for the case true and correding mandatory requirements approximately the current approximately.	applicable) Corporate) 3) □ Au ders. 7) □ KYC Cou	ID PAYOUTS (Rento the investor's Into the investor in the into the						account deta	ction - G)		;	State	-   -   -   -   -	
	Pay Cheque/ DD/ Pay Order Dated			2nd holder	whereby apply for units ugh legitimate source learn Act, Anti Mone e & I/ We have not reg to me / us. In the e scheme, in favour of MRIs! / We confirm in Non-Resident Exte different competing Sect. e) I/We have reasuirement of PAN. I/W plication will result in	ıthorised signatories li nfirmation. 8) □ Pov	fer Instruction - H Bank Account in ca eutsche Bank/Roy  the Mutual Fund 8 count with/without d Tata Mutual Fund the credit going to the C/NEFT/EC	(Canc	* This	State		Acco	is of Filst Official	ils of First   Initholder	Fax	Tel. (Office)			
		Signature of Authoris Seal/Stamp along with Da	Sr. No. : W-8521		The Trustee, Tata Mutual Fund  a) Having read & understood the contents of the Scheme Information Document of the Scheme,    We hereby apply for units of the scheme & agree to abide by regulations governing the scheme.    We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not decontravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption laws enacted by the Govt of India from time to time.    We have understood the details of the scheme &    We have not received nor have been induced by indirectly in making this investment.    We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" proced to the satisfaction of the AMC,    We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV redemption & undertaking such other action with such funds that may be required by the Law.    For NRIs:    We confirm that I am /  we are Non Resident Corruption    1. We have remitted funds from abroad through approved banking channels or from funds in my /  our Non-Resident External /  Non-Resident Cordinary. c) The me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from is being recommended to me/ us. d)    We confirm that details provided by me/ us are true and correct. e)    We have read & understood the SEBI Circular Non-Resident External /  Non-Resident Drunds from its being recommended to me/ us. d)    We confirm that    we have read & understood the SEBI Circular Non-Resident External    Non-Resident Ex	DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)  I) □ Memorandum & Articles of Association (Corporate) 2) □ Board Resolution (Corporate) 3) □ Authorised signatories list. 4) □ Trust Deed (in case of a trust)  5) □ Partnership Deed (for partnership firm) 6) □ Copy of PAN card of all unitholders. 7) □ KYC Confirmation. 8) □ Power of Attorney.	se the account is with ICN all Bank of Scotland/Orion will be a sassigning any reason there if responsible. I/We understooche wrong account. Furth CS.	elled cheque is Mandatory	s is a II Digit Number, kindly ob			Account Type Savings Current		FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) (Refer Instruction - G)  All communication payments will be made to first applicant or to Karra in case of HI IF Rapk account details of First I birbolder required without which the applica		)		-	
	DD/ Cheque/ Pay Order drawn on-bank and branch (name)	of Authorised Staff and Office ng with Date and Time of Receipt	121	3rd holder	The Trustee, Tata Mutual Fund  A) Having read & understood the contents of the Scheme Information Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicable NAV process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicable NAV process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicable NAV process is not completed by me/us that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident Canfirm that I am / we are Non Residents of Indian Nationality / Origin & that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. c) The ARN holder has disclosed to me/us. a) I/ We confirm that I/ we are Non-Resident Croular No. MRD/Dop/Cir-05/2007 dt. April 127, 2007 & EBIC Circular No. MRD/Dop/Cir-05/2007 dt. April 127, 2007 & EBIC Circular No. M	of a trust)	Tata Mutual Fund directly credits the Redemption/Dividend/Refund payout into the investor's Bank Account in case the account is with ICICI Bank Ltd./HDFC Bank Ltd./Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Royal Bank of Scotland/Oriental Bank of Commerce/State Bank of India (Core banking centers only).  When understand that the instruction to the investor's mile be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible in the trediting payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.  If however you wish to receive Cheque payment, please tick here	(Cancelled cheque is Mandatory)	y obtain it from your Bank Branch.			urrent NRO NRNR NRE	ppinauori would be rejected	polication would be rejected			Pin		